

A group of people in a meeting, with hands visible holding a smartphone and writing on papers.

# NIH Public Access Policy Workshop

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CHIPTS Core Coordinator  
March 2, 2018

# Workshop Overview

- Overview of Policy
- Complying with Policy
  - Determine Applicability
  - Address Copyright
  - Submit Paper to PMC
  - Include PMCID in Citations
- Managing Compliance using My NCBI
- Additional Resources
- Questions

# What is the Policy?

“The Director of the National Institutes of Health shall require that **all investigators funded by the NIH** submit or have submitted for them to the National Library of Medicine’s **PubMed Central** an electronic version of their **final peer-reviewed manuscripts upon acceptance for publication, to be made publicly available no later than 12 months after the official date of publication....”**

who

where

what

when

Division G, Title II, Section 218 of PL 110-161  
Implemented by NIH Public Access Policy (11 Jan 2008)  
Enacted as law by Omnibus Appropriations Act of 2009  
<http://grants.nih.gov/grants/guide/notice-files/NOT-OD-09-071.html>

# Who Has to Comply?



- All principal investigators who get any funding from:
  - an NIH grant or cooperative agreement
  - an NIH Intramural Program
  - or if NIH pays their salary
- Their institutions
- Compliance is **MANDATORY, NOT OPTIONAL!**

# Manuscript Applicability

The NIH Public Access Policy applies if your manuscript is:

- Peer-reviewed
- Accepted for publication in a journal on or after April 7, 2008
- Comes from
  - Any direct funding from an NIH grant or cooperative agreement active in Fiscal Year 2008 or beyond
  - Any direct funding from an NIH contract signed on or after April 7, 2008
  - Any direct funding from the NIH Intramural Program An NIH Employee

**DOES NOT** apply to dissertations, book chapters, conference proceedings, or letters to the editor

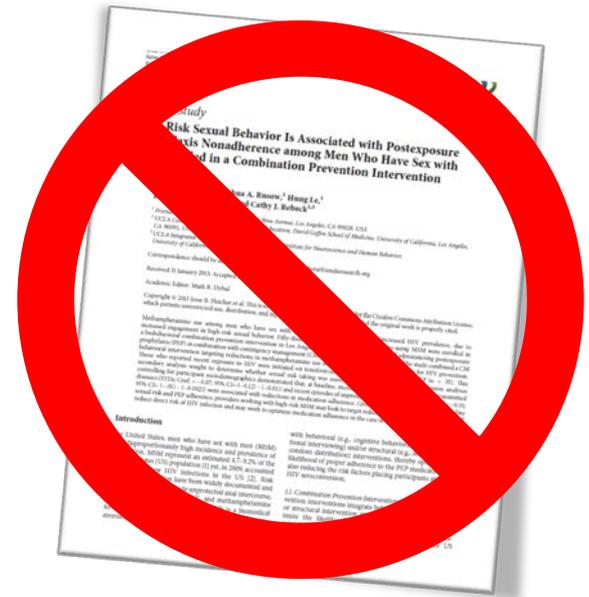


# What to Submit



## SUBMIT Final Peer-Reviewed Manuscript

- Author's final manuscript accepted for publication, which includes all revisions from peer review process
- Those accepted on or after 4/7/08
- Arise from NIH support



## NOT Final Published Article

- Journal's authoritative copy of the paper
- Version that appears in journal after copyediting, formatting, etc

# Policy Purpose

- **ARCHIVE**
  - To create a central collection of NIH-funded research publications to preserve vital published research findings
- **ADVANCE**
  - To provide an information resource for scientists to research publications and for NIH to manage better its entire research investment
- **ACCESS**
  - To make research publications resulting from NIH-funded research available to the public

# Deadlines

- Submit **upon acceptance** for publication
  - All submission tasks complete **within 3 months** of publication
- Must be made publicly available **NO LATER than 12 months** after (official date of) publication (print)
- Does **NOT** mean you have 12 months to submit papers!



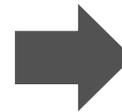
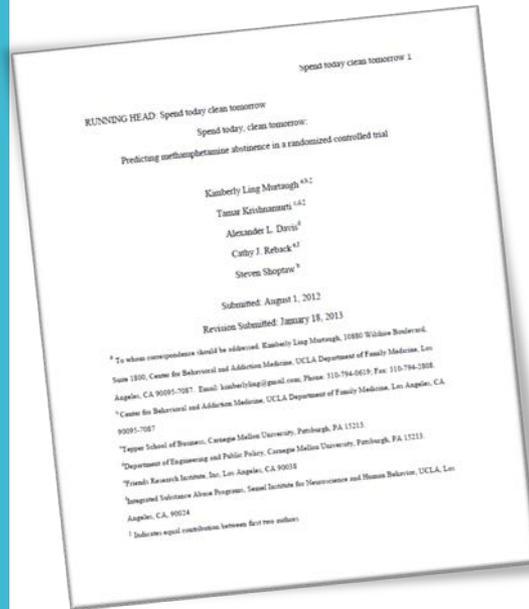
Effective July 1, 2013:

- NIH will **delay processing of an award** if publications arising from it are not in compliance with the NIH public access policy.
- Investigators **must use My NCBI** to enter publications into progress reports.



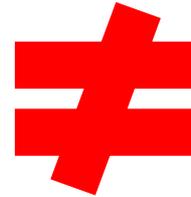
**NIH Notice NOT-OD-13-042:** Changes to Public Access Policy Compliance Efforts Apply to All Awards with Anticipated Start Dates on or after July 1, 2013 (released 2/14/2013)  
<http://grants.nih.gov/grants/guide/notice-files/NOT-OD-13-042.html>

# Where Does It Need to Go?



- Need to be deposited into **PubMed Central (PMC)**
- Can use NIH Manuscript System to submit your paper into PMC

But My  
Article is  
Already in  
Pubmed...



- Online database of citations, abstracts, links to publisher sites
- Assigns PMID (8 digit number)
- Your articles goes in automatically
- Online database of full-text, peer-reviewed journal articles
- Assigns PMCID (7 digit number and starts with "PMC")
- You usually have to take an active role

# Compliance

If the Public Access Policy is applicable to your publication:

- Address copyright
- Submit paper to PMC
- Cite PMCID



# Copyright



**DO NOT** sign copyright agreement if it does not allow the article to be submitted to PMC

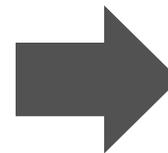
# Points to Stipulate with Publishers

- Which submission method will be used?
- What version of the paper will be made available on PMC?
- Who will submit the paper?
- When will it be submitted?
- Who will approve the submission?
- When will the paper be made public on PMC?

# Language to Include in Copyright Agreement

*"Journal acknowledges that Author retains the right to provide a copy of the final peer-reviewed manuscript to the NIH upon acceptance for Journal publication, for public archiving in PubMed Central as soon as possible but no later than 12 months after publication by Journal."*

# How to Submit Paper to PMC?



# Applicable publications

Final Published Article

Peer-Reviewed Manuscript

## Method A:

Journal that deposits all final published articles in PubMed Central (PMC) without author involvement

## Method B:

Publisher deposit a specific final published article into PMC, usually for a fee

## Method C:

Author/delegate deposits via NIH Manuscript Submission System (NIHMS) and completes submission process

## Method D:

Publisher deposits via NIHMS, but author completes the submission process

	<b>Method A</b>	<b>Method B</b>	<b>Method C</b>	<b>Method D</b>
<b>Version of paper submitted</b>	Final Published Article	Final Published Article	Final Peer-Reviewed Manuscript	Final Peer-Reviewed Manuscript
<b>Who starts the deposit process?</b>	Publisher	Publisher	Author or designee, via NIHMS	Publisher
<b>Who approves paper for processing?</b>	Publisher	Publisher	Author, via NIHMS	Author, via NIHMS
<b>Who approves paper for PMC display?</b>	Publisher	Publisher	Author, via NIHMS	Author, via NIHMS

Type of Paper Submitted	Final Published Article	Final Peer-Reviewed Manuscript
Submission Method	<p><b>Method A</b> – Journal automatically post NIH supported papers directly to PMC (List of Journals using Method A)</p> <p><b>Method B</b> – Special arrangements made by the author for the Journal to post the paper directly to PMC (List of Journals using Method B)</p>	<p><b>Method C</b> – Authors or designee must submit manuscripts to the NIHMS</p> <p><b>Method D:</b> Some publishers will submit manuscripts to the NIHMS</p> <p>Awardees are responsible for ensuring that manuscripts are submitted to the NIHMS <i>upon acceptance for publication</i></p>
Submission Process by	Publisher posts the paper directly to PMC	Publishers, authors or their designee deposit files and the NIHMS converts them to the PMC format – Submission REQUIRED upon acceptance for publication
Approve Submission	Publisher	Author – on NIHMS
Approve PMC Web Version	Publisher	Author – on NIHMS
Responsible for Compliance	NIH Awardee	NIH Awardee
Cite papers - acceptance-3 months post-publication	PMCID or “PMC Journal – In Process”	PMCID or NIHMSID
Cite papers – +3 months post-publication	PMCID	PMCID

# How to Determine Submission Method?

- Check the journal/publisher website in “For Authors” section or call them directly
- Go to the NIH website ([http://publicaccess.nih.gov/submit\\_process\\_journals.htm](http://publicaccess.nih.gov/submit_process_journals.htm))
  - Method A  
[http://publicaccess.nih.gov/submit\\_process\\_journals.htm](http://publicaccess.nih.gov/submit_process_journals.htm)
  - Method B  
[http://publicaccess.nih.gov/select\\_deposit\\_publishers.htm#b](http://publicaccess.nih.gov/select_deposit_publishers.htm#b)
  - Method D:  
<https://publicaccess.nih.gov/Method%20D%20Publishers>
- Or check out the SHERPA/RoMEO list (<http://www.sherpa.ac.uk/romeo.php>)



... opening access to  
research

Home • Search • Journals •  
Publishers • FAQ • Suggest • About

## Publisher copyright policies & self-archiving

English | [Español](#) | [Magyar](#) | [Português](#)

### Search

**Journal titles or ISSNs**  **Publisher names**

**Exact title**  **starts with**  **contains**  **ISSN**

[Advanced Search](#)

*Use this site to find a summary of permissions that are normally given as part of each publisher's copyright transfer agreement.*

### RoMEO News

[Blog](#) • [Twitter](#) • [More >>](#)

- [SHERPA/RoMEO API Version 2.4 has been Closed Down](#) - 26-Nov-2013
- [Beta SHERPA/FACT API Announced](#) - 31-Oct-2013
- [Important changes to the SHERPA/RoMEO API](#) - 04-Sep-2013

### Special RoMEO Pages

[More >>](#)

- [Publishers Allowing use of their PDFs in Repositories](#)
- [RoMEO Statistics](#)
- [Application Programmers' Interface \(API\)](#)

### Additions and Updates

 [RSS1 Feed](#) • [More >>](#)

<http://www.Sherpa.ac.uk/romeo.php>

- **Example: Springer Publishing/Springer OpenChoice**

...If you choose to publish your article as **open access within the Springer Open Choice program**, Springer deposits the **final published version** of your article into **PubMed Central** and it is made publicly accessible. The copyright will remain with you and the article will be published under the Creative Commons Attribution License. The cost of Springer Open Choice (**USD 3000/ EUR 2200**) is – as stated on the NIH web site – a permissible cost in your grant.

←Method B

If you choose to publish your article with the **traditional subscription based model (without open access)**, you can **notify Springer to deposit** the **author's accepted version of your article** into the **NIH Manuscript Submission System**, from where it will be sent to PubMed Central and made publicly available 12 months after publication...

←Method D

\*\*\*

"Authors may **self-archive** the author's accepted manuscript of their articles on their own websites. **Authors may also deposit this version of the article in any repository**, provided it is only made publicly available 12 months after official publication or later. He/ she **may not use the publisher's version (the final article)**, which is posted on SpringerLink and other Springer websites, for the purpose of self-archiving or deposit...

←Method C

# Applicable publications

Final Published Article

Peer-Reviewed Manuscript

## Method A:

Journal that deposits all final published articles in PubMed Central without author involvement

## Method B:

Publisher deposit a specific final published article in PMC, usually for a fee

## Method C:

Author/delegate deposits via NIHMS and completes submission process

## Method D:

Publisher deposits via NIHMS, but author completes the submission process

# How to Submit using Method C

Log In at <http://www.nihms.nih.gov/>

U.S. Department of Health & Human Services

NIH Manuscript Submission System

About Help

Sign In

NIH Researchers NIH login ERA commons

ACL Researchers HHS NCBI

AHRQ Researchers HHS ERA commons

ASPR Researchers HHS

CDC Researchers HHS ERA commons

**NOTE: Any one can upload/submit a paper in the NIHMS system. It DOES NOT have to be an author (e.g. Admin Assistant, Project Director, Manager, any designee, etc.).**

## Manuscript List for Video Maker

Needs Your Attention **3** In Process in NIHMS **1** Stalled **2** Completed **0** Available in PMC **0**

3 manuscripts that need action by you

NIHMSID	Title	Status	
15398	Translational alphabets	Awaiting file upload	
15249	An Experimental and Theoretical Evaluation of Multi-site Cadmium(II) Exchange in Designed Three-Stranded Coiled Coil Peptides	<b>Stalled:</b> Awaiting reviewer's final approval	
15340	Translational medicine	Awaiting file upload	

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Find a manuscript

NIHMSID

[How do I submit a manuscript?](#)

[How do I search by ticket number?](#)

[How can I search for manuscripts already in the NIHMS system?](#)

[Why don't I see my manuscript?](#)

### What you will need for your submission:

- the NIH grant number(s)
- Grantee's full name
- Authors' full names
- the final peer-reviewed manuscript
- any supporting figures, tables, etc

**NOTE: Make sure that you use the same login option each time.**

Click on  
"Submit New  
Manuscript"

NIH Manuscript Submission System

About Help Manuscript List Grants Reporting Video Maker Sign out

### Manuscript List for Video Maker

Needs Your Attention **3** In Process in NIHMS **1** Stalled **2** Completed **0** Available in PMC **0**

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**Submit New Manuscript**

Find a manuscript

NIHMSID

- How do I submit a manuscript?
- How do I search by ticket number?
- How can I search for manuscripts already in the NIHMS system?
- Why don't I see my manuscript?
- What does the Status of a manuscript record mean?

# 3 Options are available

1) Manually enter the Manuscript Title and Journal Title as it appears in Publication

Option 1:  
Enter manuscript info manually

1.00 NIH Manuscript Submission System

About ▾ Help ▾

New manuscript submission

1. Title Information 2. Add Funding 3. Upload Files 4. Check Files 5. Set Reviewer & Embargo

Provide citation information using one of the options below.

**Option 1. Enter the manuscript and journal titles**

Manuscript Title \*

Journal Title \*

Option 1. Enter the manuscript and journal titles

Option 2. Search for citation in PubMed

Option 3. Find citation in My NCBI Bibliography

Cancel Submission Add Funding ▶

1) Enter journal and manuscript title here

Provide citation information using one of the options below.

### Option 1. Enter the manuscript and journal titles

#### Option 2. Search for citation in PubMed

Chu I[au]

Quick search for: Chu, Chu I

Publications	Status
<input type="radio"/> Acute Effects of Foam Rolling, Static Stretching, and Dynamic Stretching During Warm-ups o ... ng Adults. <a href="#">J Sport Rehabil</a> 11/01/2017	
<input type="radio"/> Surface display of synthetic phytochelatins on <i>Saccharomyces cerevisiae</i> for enhanced ethan ... substrates. <a href="#">Bioresour Technol</a> 12/01/2017	
<input type="radio"/> Tautomerization and Dissociation of Molecular Peptide Radical Cations. <a href="#">Chem Rec</a> 01/01/2018	
Snail maintains metastatic potential, cancer stem-like properties, and chemoresistance in ... P2J cells. <a href="#">Oncol Rep</a> 09/01/2017	Publisher provided
A tricyclic pyrrolobenzodiazepine produced by <i>Klebsiella oxytoca</i> associated with cytotoxi ... c colitis. <a href="#">J Biol Chem</a> 11/24/2017	Already in PMC
<input type="radio"/> Effect of oil structure on cyclodextrin-based Pickering emulsions for bupivacaine topical application. <a href="#">Colloids Surf B Biointerfaces</a> 01/01/2018	
Expression of the human telomerase reverse transcriptase gene is modulated by quadruplex f ... thylation. <a href="#">J Biol Chem</a> 12/22/2017	Already in PMC
Morton's Neuroma (Interdigital Neuralgia) Treated with Metatarsal Sliding Osteotomy. <a href="#">Indian J Orthop</a> 11/01/2017	Already in PMC
<input type="radio"/> Patient and provider perspectives on the development of personalized medicine: a mixed-methods approach. <a href="#">J Community Genet</a>	
A gene expression signature of FOXM1 predicts the prognosis of hepatocellular carcinoma. <a href="#">Exp Mol Med</a> 01/05/2018	Publisher provided

« 1 2 3 4 5 6 7 8 9 ... 52 53 »

Option 1. Enter the manuscript and journal titles

Option 2. Search for citation in PubMed

Option 3. Find citation in My NCBI Bibliography

Option 2:  
Search PubMed  
for the  
Publication

### Option 3. Find citation in My NCBI Bibliography

Cancel Submission

Add Funding ▶

Option 3:

Select a citation in "MyNCBI Bibliography"

**New manuscript submission**

1. Title Information   2. Add Funding   3. Upload Files   4. Check Files   5. Set Reviewer & Embargo

Provide citation information using one of the options below.

**Option 1.** Enter the manuscript and journal titles

**Option 2.** Search for citation in PubMed

**Option 3.** Find citation in My NCBI Bibliography

Publications	Status
<input type="radio"/> Substance-associated elevations in monocyte activation among methamphetamine users with treated HIV infection. <u>AIDS</u>	

[Edit My NCBI Bibliography](#) (Note: refresh this page after editing your bibliography in order to display the changes.)

[Cancel Submission](#)   [Add Funding ▶](#)

2) After using your selected option, Click "Add Funding"

1) Enter grant info here

2) Only 3 grants will be shown initially. Click here for more

3) Select the correct grant

4) Click "Upload Files" to continue

The screenshot shows a multi-step process for grant selection. At the top, a progress bar indicates five steps: 1. Title Information, 2. Add Funding (highlighted), 3. Upload Files, 4. Review Grant Check Files, and 5. Set Reviewer & Embargo. Below the progress bar, there are search fields for 'First Name', 'Last Name', and 'Grant/Project ID'. A 'Search' button is located to the right of the 'Grant/Project ID' field. Below the search fields, the 'Search Results:' section displays a table with the following data:

Grantee/PI	Select	Grant/Project ID	Title
Video Maker	<input checked="" type="checkbox"/>	M01 RR000123	Grant 3
	<input type="checkbox"/>	R01 DK012345	Grant 1
	<input type="checkbox"/>	R33 DK654321	Grant 2

A 'Show More' link is located at the bottom right of the table. At the bottom of the interface, there are three buttons: 'Cancel Submission', 'Title Information', and 'Upload Files'.

Tip: Have all of your files in a folder so that they are easily accessible for upload.

1. Title Information   2. Add Funding   **3. Upload Files**   4. Check Files   5. Set Reviewer & Embargo

Please upload all files associated with your manuscript, including supplemental files, and move to the next step.

Type	Label	File	Size	Date	Remove
Manuscript *		<input type="button" value="Choose File"/> No file chosen			<input type="button" value="X"/>
Figure	<input type="text"/>	<input type="button" value="Choose File"/> No file chosen			<input type="button" value="X"/>
Table	<input type="text"/>	<input type="button" value="Choose File"/> No file chosen			<input type="button" value="X"/>
Supplemental	<input type="text"/>	<input type="button" value="Choose File"/> No file chosen			<input type="button" value="X"/>

Add another [Manuscript](#), [Figure](#), [Table](#), [Supplemental](#)

Choosing a file type  
Labeling files

**1) Upload files here**

**2) Click "Check Files" to continue**

How do I implement an automated screen for high-titer ABO antibody as an inventory management tool for ABO plasma-incompatible platelets?

Journal: [Transfusion](#) PubMed # 26448376

1. Title Information 2. Add Funding 3. Upload Files 4. Check Files 5. Set Reviewer & Embargo

Please open and review the PDF Receipt to confirm that you have provided all the materials that make up your manuscript and that are

1. Title Information 2. Add Funding 3. Upload Files 4. Check Files 5. Set Reviewer & Emb

Please open and review the PDF Receipt to confirm that you have provided all the materials that make up your manuscript and that are referenced in the text, including any placeholders for supplemental files (

**You must review the PDF receipt file to advance to the next step.**

 [PDF Receipt \[2016-02-22 14:21:51, 122.7 KB\]](#)

Please return to [Upload Files](#) if any files are missing.

Save & Exit

Cancel Submission

◀ Upload Files

Set Reviewer & Embargo ▶

1) Review your information and files here

2) Click here to continue

**1) Select a reviewer. The person MUST be an author on the paper.**

**Tip: Select a reviewer that is willing and is available to login to the NIHMS to approve the paper.**

**3) Click approve**

**2) Enter embargo period**

**NOTE: The reviewer DOES NOT have to be the first or corresponding author. Any author on the paper can be a reviewer as long as it is agreed**

[About ▾](#)[Help ▾](#)[Manuscript List](#)[Grar](#)

## Review of NIHMS submission statement

### Submission Statement

**Manuscript Title:** How do I implement an automated screen for high-titer ABO antibody as an inventory management tool for ABO plasma-incompatible platelets?

**Accepted for Publication in:** Transfusion

I am an author of this manuscript, and I am providing it to the National Institutes of Health (NIH) to make publicly available in PubMed Central **1 month** after its official date of publication in the journal.

**I confirm that:**

**Publication and Copyright Agreements** — In any agreements that I have made with the journal, I have retained the right to deposit *this version* of the manuscript with PMC, so that it may be appropriately tagged and made available to the public on the PMC web site; or, I otherwise am legally authorized to deposit this manuscript for the purposes described.

**Confidentiality** — The manuscript may contain confidential information that must not be publicly disclosed prior to publication of the paper in the named journal.

**Peer Review** — The version I am depositing has been peer reviewed and accepted for publication, including all modifications resulting from the peer review process.

**Funding** — The manuscript is the result of research supported, in whole or in part, by direct costs funded by . /

Click Agree or Disagree

Change Release Date

Disagree

Agree

## Thank you for the submission.

**Manuscript Title**

**How do I implement an automated screen for high-titer ABO antibody as an inventory management tool for ABO plasma-incompatible platelets?**

**Journal**  
**NIHMSID**

**Transfusion**  
**15954**

**Release Delay**

**1 month**

**Reviewer**

**Video Maker**

**WHAT'S NEXT?****Step 3**

NIHMS staff reviews the approved files for completeness, and complete submissions are converted to archival XML. The PMC-ready documents (Web and PDF versions) are checked to ensure they accurately reflect the submitted files. Processing time for this step is usually 2-3 weeks but may vary depending on the volume of submissions at a given time. An e-mail notification is sent to the Reviewer when the record is available for final review.

**Step 4**

The Reviewer reviews the PMC-ready documents (Web and PDF versions) and either requests corrections or approves them for inclusion in PMC. Final Approval is required to complete manuscript processing in NIHMS.

**Step 5**

A PMCID is assigned when the manuscript is matched to a PubMed record with complete citation information. For details, see [When will a PMCID be assigned to my manuscript?](#)

[Go to Manuscript List](#)[Sign Out](#)

After you finish your submission, the NIHMS system will send **at least 3 emails** to the assigned reviewer.

# Check your email!

The first email is to alert that the manuscript has been submitted into NIHMS and to ask the reviewer to approve upload version.

**From:** nihms-help@ncbi.nlm.nih.gov [mailto:nihms-help@ncbi.nlm.nih.gov]  
**Sent:** Monday, January 28, 2013 10:29 AM  
**To:** [REDACTED]  
**Subject:** [nihms] NIHMS439295: Approve Submission of Manuscript

"Operational implementation of prospective genotyping for personalized medicine"

[NOTICE: This is an automated message from the NIHMS System. To contact the system administrator, please email nihms-help@ncbi.nlm.nih.gov]

Dear [REDACTED],

[REDACTED] has submitted the following manuscript for publication in PubMed Central in compliance with the NIHMS System. The manuscript is currently in the review process.  
 Journal name: Clinical pharmacology and therapeutics  
 Manuscript title:Operational implementation of prospective genotyping for personalized medicine: t  
 The manuscript ID for this article is NIHMS439295.

Please approve the submitted materials and confirm that it was supported by NIH and/or HHMI funding.

There are three steps:

1. Access the System - Please click on the following URL to access the NIHMS System:

<http://www.nihms.nih.gov/db/sub.cgi?ticket=746245a9122e03146df3ba6alf9bb4e10e336e84>

NOTE: If the above link is broken, please copy and paste the entire URL into your browser.

\*\*You must follow this link to find your manuscript.\*\* This link is a "ticket" that allows our system to identify your manuscript.

Ticket Number:

746245a9122e03146df3ba6alf9bb4e10e336e84

2. Log in to the NIHMS System - If you need assistance with this step, the following step-by-step guides will show you how to log into the system:

Extramural Users - <http://www.nihms.nih.gov/help/MYNCBI-LOG/MYNCBI-LOG-1.shtml>

Extramural PIs - <http://www.nihms.nih.gov/help/ERA-LOG/ERA-LOG-1.shtml>

Intramural Researchers - <http://www.nihms.nih.gov/help/NIH-LOG/NIH-LOG-1.shtml>

3. Review "PDF Receipt" and click "Approve" button. If you can provide more appropriate person to curate this manuscript submission, please click corresponding button and follow the instruction.

## Manuscript List for Video Maker

[Needs Your Attention](#) **4**
[In Process in NIHMS](#) **1**
[Stalled](#) **2**
[Completed](#) **0**
[Available in PMC](#) **0**

4 manuscripts that need action by you

NIHMSID	Title	Status	
15954	How do I implement an automated screen for high-titer ABO antibody as an inventory management tool for ABO plasma-incompatible platelets?, Grants: M01 RR000123	Awaiting reviewer's final approval	
15398	Translational alphabets	Awaiting file upload	
15249	An Experimental and Theoretical Evaluation of Multi-site Cadmium(II) Exchange in Designed Three-Stranded Coiled Coil Peptides	<b>Stalled:</b> Awaiting reviewer's final approval	
15340	Translational medicine	Awaiting file upload	

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[How do I search by ticket number?](#)

[How can I search for manuscripts already in the NIHMS system?](#)

[Why don't I see my manuscript?](#)

[What does the Status of a manuscript record mean?](#)

**NOTE: If the reviewer does not login to approve the uploaded version, the process will be stalled.**

# Check your email!

The second email is to alert the reviewer that the PMC /web version of the manuscript is ready and to ask the reviewer to approve the web version.

**From:** <[nihms-help@ncbi.nlm.nih.gov](mailto:nihms-help@ncbi.nlm.nih.gov)>  
**Date:** February 22, 2013, 2:24:41 PM CST  
**To:** [REDACTED]  
**Subject:** [nihms] Manuscript #439295: Please review Web version

Dear [REDACTED]:

The web version of your NIHMS manuscript (NIHMS439295) "Operational implementation of prospective genotyping for personalized medicine: The design of the Vanderbilt PREDICT project" is now ready for your review.

Please proceed to the NIHMS web site: <http://www.nihms.nih.gov/db/sub.cgi> to approve the web version of your manuscript. This is necessary to complete your manuscript submission to PubMed Central.

You will be able to (1) approve the manuscript for release to PubMed Central or (2) request that corrections be made to the article. Please note that only errors or omissions that impact the scientific accuracy of your article are eligible for correction.

#### PLEASE DO NOT REJECT THE WEB VERSION FOR THESE REASONS:

- The figures/tables are "out of order": NIHMS places a figure/table after the first in-text citation of the figure/table, according to PubMed Central style guidelines. If you wish to change the order, please provide corrected text to change the citation order.
- This is not the final version: The Public Access Policy calls for the manuscript to be submitted after peer-review and acceptance, but before final editing and publication. If you wish to use the final, published version of the manuscript, you must have explicit permission from the publisher. You are welcome to request corrections to the NIHMS manuscript that affect the scientific accuracy of the manuscript.
- The full figure legend is not showing: Part of the figure legend is displayed in the thumbnail in the HTML version. To view a larger version of the figure and the complete legend, please click on the thumbnail image.
- The PubMed link missing from a reference: A link to PubMed from a reference is automatically generated based on the match to an existing PubMed record and cannot be added

**NOTE: If the reviewer does not login to approve the web version, the process will be stalled.**

## The PMC-Ready Version of Your Manuscript Is Now Available!

For your review: [PMC-ready documents](#)

1) Review the version that will be uploaded to PMC

For reference only: [Original submission](#)

For requesting corrections: [Manuscript with paragraph numbering](#)

1a) If corrections are needed, use the manuscript with Paragraph numbering to identify corrections

### Review funding support

#### Grants/Projects

Grantee	Grant/Project #	Title
Video Maker	M01 RR000123	Grant 3

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# Submitting Corrections

How do I implement an automated screen for high-titer ABO antibody as an inventory management tool for ABO plasma-incompatible platelets?

Journal: [Transfusion](#) PubMed # 26448376 NIHMSID 15954

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# How to Cite PMCID?

The screenshot shows the NIH Public Access Policy website. At the top left is the NIH logo and the text 'Public Access Policy'. To the right is a search bar with the text 'Search' and links for 'OER Glossary' and 'Contact us'. Below the search bar is a navigation menu with links: Home, Training, Policy Details, Managing Papers, FAQs, Special users, My NCBI, and NIHMS. The main content area has the heading 'Include PMCID in Citations'. Below this heading is a paragraph explaining that anyone submitting an application, proposal, or report to the NIH must include the PMC reference number (PMCID) when citing applicable papers. An example is provided: 'Three authors collaborate on a paper that falls under the public access policy. Author A has no NIH support, Author B worked on the paper using salary support from his mentor's NIH award, and Author C used her NIH award to support the research reported in the paper. If any of the four scientists (the authors and Author B's mentor) cite the paper when they apply for an NIH award, they must include the PMCID in the citation. However, when another scientist (anyone other than the three authors or Author B's mentor) cites this paper in their NIH research application, they are not required to include the PMCID. In addition, when Author B's mentor and Author C next report on the progress of their respective awards to NIH, they must cite the paper and include the PMCID.' Below the example is the heading 'How to Cite' and a sub-heading 'A. For non-competing continuation awards, use My NCBI to report papers'. A table titled 'Public Access Compliance codes in the RPPR and My NCBI report' is shown. The table has two columns: 'Compliant' and 'Not Compliant'. The 'Compliant' column lists: Complete, N/A (not applicable), PMC Journal In Process, and In process at NIHMS. The 'Not Compliant' column lists: Non-compliant. Below the table is a numbered list: '1. Create a Commons linked My NCBI account. A video overview is available here.'

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[BMC Public Health](#), 2010 Dec 20;10:774.

**Contingency management to reduce methamphetamine use and sexual risk among men who have sex with men: a randomized controlled trial.**

[Menza TW](#), [Jameson DR](#), [Hughes JP](#), [Colfax GN](#), [Shoptaw S](#), [Golden MR](#).  
Center for AIDS and STD, Harborview Medical Center, 325 Ninth Avenue, Seattle, WA 98104, USA. [menza@uw.edu](mailto:menza@uw.edu)

**Abstract**

**BACKGROUND:** Methamphetamine use is associated with HIV acquisition and transmission among men who have sex with men (MSM). Contingency management (CM), providing positive reinforcement for drug abstinence and withholding reinforcement when abstinence is not demonstrated, may facilitate reduced methamphetamine use and sexual risk. We compared CM as a stand-alone intervention to a minimal intervention control to assess the feasibility of conducting a larger, more definitive trial of CM; to define the frequency of behavioral outcomes to power such a trial; and, to compute preliminary estimates of CM's effectiveness.

**METHODS:** We randomly assigned 127 MSM from Seattle, WA who use methamphetamine to receive a 12-week CM intervention (n = 70) or referral to community resources (n = 57).

**RESULTS:** Retention at 24 weeks was 84%. Comparing consecutive study visits, non-concordant UAI declined significantly in both study arms. During the intervention, CM and control participants were comparably likely to provide urine samples containing methamphetamine (adjusted relative risk [aRR] = 1.09; 95%CI: 0.71, 1.56) and to report non-concordant UAI (aRR = 0.80; 95%CI: 0.47, 1.35). However, during post-intervention follow-up, CM participants were somewhat more likely to provide urine samples containing methamphetamine than control participants (aRR = 1.21; 95%CI: 0.95, 1.54, P = 0.11). Compared to control participants, CM participants were significantly more likely to report weekly or more frequent methamphetamine use and use of more than eight quarters of methamphetamine during the intervention and post-intervention periods.

**CONCLUSIONS:** While it is possible to enroll and retain MSM who use methamphetamine in a trial of CM conducted outside drug treatment, our data suggest that CM is not likely to have a large, sustained effect on methamphetamine use.

PMID: 21172026 [PubMed - indexed for MEDLINE] **PMCID: PMC3016390** [Free PMC Article](#)

**Related citations**

**Review** Behavioral interventions to reduce risk for sexual transmission of HIV [Cochrane Database Syst Rev. 2008]

Factors associated with sex in the context of methamphetamine use [BMC Public Health. 2010]

A public health response to the methamphetamine epidemic [BMC Public Health. 2006]

**Review** Methamphetamine use and infectious disease-related behaviors in men [Addiction. 2007]

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**Chemical Compound Information**

Methamphetamine

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## When to Include PMCID in Citations?

As 5/25/08, must include PMCID for articles that arise from NIH funded research in:

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\*Reminder Concerning Grantee Compliance with Public Access Policy and Related NIH Monitoring Activities Notice Number: NOT-OD-08-119, September 23, 2008, <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-08-119.html>

## Example of Citation w/ PMCID

Bao Y, Duan N, & Fox SA. (2006). Is some provider advice on smoking cessation better than no advice? An instrumental variable analysis of the 2001 National Health Interview Survey. *Health Service Research, 41(6)*, 2114-2135. PMCID: PMC1955314

## Examples of Citation w/o PMID

For Methods A and B, use "PMC Journal - In Process":  
Baldwin SB, Solorio R, Washington DL, Yu H, et al. (2008). Who is using emergency contraception? Awareness and use of emergency contraceptive among Californian women and teens. *Womens Health Issues*, 18(5), 380-388. PMC: PMC Journal - In Process

For Methods C and D, use the NIHMSID number.  
Cerrato A, Parisi M, Santa Anna S, Missirlis F, Guru S, Agarwal S, Sturgill D, Talbot T, Spiegel A, Collins F, Chandrasekharappa S, Marx S, Oliver B. Genetic interactions between *Drosophila melanogaster* menin and Jun/Fos. *Dev Biol.* In press. NIHMSID: NIHMS44135

## Summary of Citation Policy

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1. [x] [Commentary on Konstenius et al. \(2014\) on the development for stimulant addiction](#)

**Shoptaw S.**  
Addiction. 2014 Mar;109(3):450-1. doi: 10.1111/add.12444.  
PMID: 24524320 [PubMed - in process]  
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2. [x] [Types of Female Partners Reported by Black Men Who Have Sex with Men and Women \(MSMW\) and Associations with Intercourse Frequency, Unprotected Sex and HIV and STI Prevalence.](#)

Harawa N, Wilton L, Wang L, Mao C, Kuo I, Penniman T, **Shoptaw S.**

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1:  Shoptaw S, Reback CJ, Larkins S, Wang PC, Rotheram-Fuller E, Dang J, Yang X. [Outcomes using two tailored behavioral treatments for substance abuse in urban gay and bisexual men](#). J Subst Abuse Treat. 2008 Oct;35(3):285-93. doi: 10.1016/j.jsat.2007.11.004. Epub 2008 Mar 7. PubMed PMID: 18329226.

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Funding: No funding has been associated with this citation.

2:  King WD, Minor P, Ramirez Kitchener GD, Rust G. [Racial, gender and geographic differences in substance abuse treatment among US Medicaid enrollees](#). Health Aff (Millwood). 2008 Sep;27(9):1603-10. doi: 10.1093/ha/hfn160. PubMed PMID: 18701730.

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1:  Shoptaw S, Reback CJ, Larkins S, Wang PC, Rotheram-Fuller E, Dang J, Yang X. [Outcomes using two tailored behavioral treatments for substance abuse in urban gay and bisexual men](#). J Subst Abuse Treat. 2008 Oct;35(3):285-93. doi: 10.1016/j.jsat.2007.11.004. Epub 2008 Mar 7. PubMed PMID: 18329226.



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2:  King WD, Minor P, Ramirez Kitchen C, Oré LE, Shoptaw S, Rust G. [Racial, gender and geographic disparities in treatment among US Medicaid enrollees in 1998](#). J Epidemiol Health. 2008 Sep;62(9):798-803. doi: 10.1136/jech.2008.028111. PubMed PMID: 18701730.

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Shoptaw, Steve,

## Publications Reported for this Reporting Period

NIH Public Access Compliance	Citation
Complete	King WD, Larkins S, Hucks-Ortiz C, Wang PC, Gorbach PM, Veniegas R, Shoptaw S. Factors associated with HIV viral load in a respondent driven sample in Los Angeles. <i>AIDS Behav.</i> 2009 Feb;13(1):145-53. PubMed PMID: 18064555; PubMed Central PMCID: PMC2649661.
Complete	De La Garza R, Shoptaw S, Newton TF. Evaluation of the cardiovascular and subjective effects of rivastigmine in combination with methamphetamine in methamphetamine-dependent human volunteers. <i>Int J Neuropsychopharmacol.</i> 2008 Sep;11(6):729-41. PubMed PMID: 18248689; PubMed Central PMCID: PMC2581751.
Complete	Ober A, Shoptaw S, Wang PC, Gorbach P, Weiss RE. Factors associated with event-level stimulant use during sex in a sample of older, low-income men who have sex with men in Los Angeles. <i>Drug Alcohol Depend.</i> 2009 Jun 1;102(1-3):123-9. PubMed PMID: 19327917; PubMed Central PMCID: PMC2751657.

# Where to Find More Info?

## About the Public Access Policy:

- <http://publicaccess.nih.gov/>
- NIH Guide Notice for Public Access (January 2008): <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-08-033.html>
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Isabelle Chu

[ichu@mednet.ucla.edu](mailto:ichu@mednet.ucla.edu)

310-794-0619 x240